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भारत के नियंत्रक एवं महालेखापरीक्षक का कार्यालय 9, दीन दयाल उपाध्याय मार्ग, नई दिल्ली - 110 124 OFFICE OF THE

COMPTROLLER & AUDITOR GENERAL OF INDIA 9, Deendayal Upadhyaya Marg, New Delhi-110 124

दिनांक	/ DATE		

Circular No. **52** –Staff Wing/2020 No. **649** –Staff (Appt.)/**21.0**.-2020

10 November 2020

To

All the cadre controlling Auditory in IA &AD {for Group 'B' (Non-gazetted) and Group 'C' cadres}

Subject: Promotion to the post of Asstt. Supervisors/Supervisors, subsequent to re-structuring of Group  $\bar{B}$ , & 'C' main cadres – regarding

Sir/Madam,

Enclosure: As above.

Subsequent to notification of circular dated 29.10.2020 regarding creation of the posts of Asstt. Supervisor and revised sanctioned strength in Auditor/Accountant, Sr. Auditor/Sr. Accountant and Supervisor (Accounts /Audit), various doubts are being raised by field offices regarding promotion to Asstt. Supervisor/Supervisor cadres.

2. Clarifications on Frequently Asked Questions (FAQs) is enclosed as Annexure for information and guidance.

Yours faithfully,

10/11/2020

(V S Venkatanathan)

Asstt. Comptroller & Auditor General (N)

दू० भा० / Phone : 23509600

फैक्स / Fax : 91-11-23234014

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## Annequre to Circular No. 52 – Staff Wing/2020 No. 69 – Staff (Appt.)/2020 Frequently Asked Questions (FAQs) on promotion to Asstt. Supervisor (Accounts & Audit) /Supervisor (Accounts & Audit)

Sl. No.	Point of doubt	Clarification
1.	Whether creation of the post of Asstt. Supervisors and Supervisors, based on the revised SS of the cadres will be with immediate effect or w.e.f 01.01.2021.	May refer to para 7 of Hqrs. circular No. 43-Staff (AppI)/2020 issued under letter No. 1201-Staff (App.I)/13-2019 dated 15.10.2020 regarding cadre re-structuring of Group 'B' & 'C' Main cadres – creation of posts of Asst. supervisor in Level 7 of Pay Matrix. The revised Sanctioned strength of the posts of Auditor/Accountant, Sr. Auditor/Sr. Accountant, Asstt. Supervisor & Supervisor will come into effect from 01.01.2021.
∞2.	Can supplementary panel be dawn to consider promotion of eligible officials during the remaining part of the panel year 2020 to the cadre of Supervisor based on the revised sanctioned strength as communicated letter dt:29.10.2020.	January 2021.
3.	Consequent to creation of new post i.e. Asst. Supervisor, Senior Auditor has become the feeder cadre for both the Asst. Supervisor and Supervisor posts. Whether two promoted posts can have single feeder cadre.  Further, Sr. Auditors with five years of regular service are eligible for	May refer to Hqrs. circular dated 09.11.2020 regarding relaxation in RRs to the post of Supervisor.
	promotion as Asst. Supervisor (Level - 7). Whereas, Sr. Auditors with three years of regular service are eligible for promotion as Supervisor (Level -8). Therefore, there is need for revision of recruitment rules to the post of Supervisor.	
4.	A senior Auditor on successful passing of exam is promoted as Asst. Supervisor in Panel year 2021. Simultaneously, his name is also included in eligible candidates for promotion to the cadre of Supervisor	Same as at Sl. No. 3 above.

	in Panel year 2021 itself. Since senior auditor is the feeder cadre, should his name be included in both the panels? Which promotion should be offered to him?	
5.	If a Sr. Auditor/Sr. Accountant is promoted as Asstt. Supervisor on 01.01.2021, be eligible for promotion as Supervisor immediately on vacancy in that cadre i.e. within a couple of months.	Same as at S1. No. 3 above.
6.	Whether the eligible Sr. Auditors/Sr. Accountants can be promoted directly to the cadre of Supervisor on 01.01.2021, without training being imparted.	For promotion to Supervisor cadre,. RRs to the post of Supervisor, as amended through Hqrs. circular dated 09.11.2020 will apply. For being considered for promotion to Asstt. Supervisor, training is mandatory.
7.	Whether all the eligible Sr. Auditors should be nominated for mandatory training for Promotion to the cadre of Asst. Supervisor. Or Applications may be called for requesting their willingness/unwillingness for promotion as Asst. Supervisor/Supervisor before nominating them for training.	For being considered for promotion to Asstt. Supervisor, training is mandatory.  Officials unwilling/not having undergone training will not be eligible to be considered for promotion to the cadres or further financial upgradation under MACPS,
8.	In case of refusal of promotion to Asstt. Supervisor by an official, whether such officials will be eligible for promotion to the post of Supervisor.	No. In case of refusal of promotion, appropriate action may be taken in terms of extant provisions.
9.	In the eligibility criteria, it was mentioned that Sr. Auditors/Sr. Accountants in Level-6 with five years of regular service are eligible for promotion to the cadre of Asst. Supervisor. Whether Sr. Auditors/Sr. Accountants in Level-7 are ineligible for nomination of training and in turn promotion to Asst. Supervisor.	level 6. Placing them in pay level 7 owing to financial upgradation under MACPS does not change their status.
10.	Are Auditors/Accountants in Level-6 eligible for promotion to Asst. Supervisor post	Auditors/Accounts are in pay level 5. Placing them in pay level 6 owing to financial upgradation under MACPS does not change their status. Therefore, they are not eligible to be considered for promotion to the post of Asstt. Supervisor.

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11.	Based on the existing Recruitment rules for the post of Supervisor, whether supplementary panel can be drawn against the unforeseen vacancies during the remaining part of the panel year 2020.	Yes. However, the current panel year will only consider the pre-revised sanctioned strength.
	Can the existing panel continue to be operated against the upcoming vacancy during the remaining part of the panel year 2020.	
12.	Who will be the Appointing authority/cadre controlling authority for the post of Assstt. Supervisor.	The respective cadre controlling authority for Group 'B' (non-gazetted)
13.	What will be the composition of DPC for the post of Asstt. Supervisor.	(i)Cadre controller – Chairperson; (ii)Sr. DAG/DAG or equivalent-Member; and
.X3		(iii)Director or Deputy Director or equivalent - Member
14.	Whether the composition of DPC for the post of Supervisor would be as prescribed in the existing RRs for the post of Supervisors.	Yes.
15.	Whether the operation of additional post of Supervisor i.e 6% of the sanctioned strength of AAOs cadre in the deficit offices, taking total strength of 10 post of regular sanctioned strength of AAO would now not be applicable.	The existing system of computation of sanctioned strength for the post of Supervisor will be dispensed w.e.f 01.01.2021, the revised sanctioned strength for the post of Supervisor will be as communicated vide Hqrs. letter dated 29.10.2020.
16.	Whether the excess person in the Sr. Auditor/Sr. Accountant and Supervisor are to be accommodated by creation of equal number of supernumerary posts with the approval of Hqrs .Office	The revised sanctioned strength (SS) has been communicated to the offices vide dated 29.10.2020. Excess PIP beyond the revised SS would be considered as interim SS till the PIP reaches the level of SS through natural attrition. Approval for operation of such interim SS is to be obtained every year after adjusting natural attrition.
17.	Will the revised sanctioned strength of Supervisors, based on the redistribution of Auditor/Sr. Auditor or Accountant/Sr. Accountant sanctioned strength, lead to increase in the existing strength of AAOs.	There is no change in the existing cadre strength of AAOs till further orders.
18.	Whether a new roster is to drawn for the cadres.	May refer Annexure –I to DoPT O.M. No. 36012/2/96-Estt.(Res.), dated 02.07.1997

10/11/2020